

Attorney Docket No. 02012CIP/HG

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Applicant(s): Tatsuya YANO et al.

Serial No. :

Filed : Concomitantly Herewith

For : COMPOUNDS HAVING
ANTIFUNGAL ACTIVITY

Art Unit :

Examiner :

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

S I R :

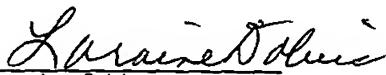
The present application is a continuation-in-part
application of International Application PCT/JP00/05937.

Submitted herewith are:

- (1) Copies of publications which are discussed at the bottom of page 1 of the present specification;
- (2) A copy of the International Search Report issued in the PCT/JP00/05937 and copies of the publication cited therein; and
- (3) Forms PTO/SB/08A and PTO/SB/08B. It is requested that initialed copies of the Forms PTO/SB/08A and PTO/SB/08B be returned to indicate that the publications listed therein have been considered and made of record.

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Laraine Dobies

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper to Account No. 06-1378.

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Each of the publications cited in the enclosed International Search Report is considered relevant or material to the patentability of the present invention in view of the citation thereof in said International Search Report.

Said International Search Report is in English, thereby satisfying the requirements for a concise explanation of relevance. Also English language abstracts for JP 10-45662 and JP 4-316578 cited in said Search Report are enclosed.

It is respectfully requested that the attached publications be considered and made "of record".

Respectfully submitted,



RICHARD S. BARTH
REG. NO. 28,180

Frishauf, Holtz, Goodman, Langer & Chick, P.C.
767 Third Avenue - 25th Floor
New York, New York 10017-2023
Tel. No. (212) 319-4900
Fax No. (212) 319-5101
RSB/mbm

Enclosures: (1) FORMS PTO/SB/08A and PTO/SB/08B
(2) Publications identified therein
(3) Copies of International Search Report

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PTO/SB/08A (08-00)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number		
		Filing Date		Concomitantly Herewith
		First Named Inventor		Tatsuya YANO
		Group Art Unit		
		Examiner Name		
Sheet	1	of	2	Attorney Docket Number
02012CIP/HG				

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U.S. PATENT DOCUMENTS

Exam. Inits*	Cite No ¹	Document Number	Kind Code ²	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion

FOREIGN PATENT DOCUMENTS

Exam. Inits*	Cite No ¹	Offc ³	Document Number ⁴	Kind Code ⁵	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion	T ⁶
		JP	10-45662		Tezuka et al.	02-17-1998		*
		JP	4-316578		Sasaki et al.	06-11-1992		*

Examiner Signature		Date Considered	
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Place a check here if English translation is attached.

DATE MAILED: March 1, 2002

* English language abstract enclosed.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number		
		Filing Date	Concomitantly Herewith	
		First Named Inventor	Tatsuya YANO	
		Group Art Unit		
		Examiner Name		
Sheet	2	of 2	Attorney Docket Number	02012CIP/HG

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OTHER PRIOR ART - NON-PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of author (in CAPITAL LETTERS), title of article, title of item, date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		D.M. SCHMATZ, G. ABRUZO, M.A. POWLES, D.C. McFADDEN, J.M. BALKOVEC, R.M. BLACK, K. NOLLSTADT and K. BARTIZAL. "Pneumocandins From Zalerion arboricola", <u>The Journal of Antibiotics</u> , <u>45</u> , 1886-1891 (1992)	
		ROBERT NYFELER and WALTER KELLER-SCHIERLEN, "Stoffwechselprodukte von Mikroorganismen", <u>Helvetica Chimica Acta</u> , <u>57</u> , 2459-2477 (1974)	
		KATSUSHIGE IKAI, KATZUTOH TAKESAKO, KAZURO SHIOMI, MAKOTO MORIGUCHI, YOSHIHISA UMEDA, JUNKO YAMAMOTO and IKUNOSHIN KATO, "Structure of Aureobasidin A", <u>The Journal of Antibiotics</u> , <u>44</u> , 925-933 (1991)	
		P. VENKATASUBBAIAH, C.G. VAN DYKE and W.S. CHILTON, "Phytotoxic Metabolites of Phoma sorghina, A New Foliar Pathogen of Pokeweed", <u>Mycologia</u> , <u>84</u> , 715-723 (1992)	
Examiner Signature		Date Considered	

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